

The Comprehensive Sourcebook of Bacterial Protein Toxins

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Fourth Edition

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Introduction to the Fourth Edition

In memory of J. E. Alouf (1929–2014)

The first edition of the *Comprehensive Sourcebook of Bacterial Protein Toxins* was published by J. E. Alouf and J. H. Freer in 1991, for the purpose of collecting in a book accessible to scientists, biologists, teachers, and students the basic knowledge of the fascinating world of the bacterial protein toxins. Indeed, these molecules produced by pathogenic and environmental bacteria are extremely diverse in terms of their composition, structure, size, biochemical properties, and mode of interaction with target cells. During the last several decades, numerous bacterial toxins have been described exhibiting characteristics like recognition of novel receptors, novel enzymatic activity, novel mode of entry into cells, or novel intracellular targets. In the first edition, J. E. Alouf and J. H. Freer not only gave detailed descriptions of individual toxins, but also presented pertinent reviews pointing out the common structural and functional aspects of toxin families, as well as the genetic mechanisms regulating toxin expression. Each of the previous editions of this book have included the most recent advances of this rapidly moving world of toxins. This edition, coming out nine years after the last one, is in keeping with this series, with the main objective to update recently acquired knowledge on both previously known and newly discovered toxins, to describe their common features which allow a better understanding of their evolution and their role in the pathogenesis, and to highlight novel applications that have emerged over the past decade. Indeed, the multifaceted aspects of bacterial toxins are the object of multidisciplinary approaches from microbiology, cell biology, molecular biology, genetics, biochemistry, biophysics, and structural biology; and this book discusses multiple applications, including therapeutic tools, development of inhibitors, and countermeasures.

J. E. Alouf was the main force behind the writing of *Comprehensive Sourcebook of Bacterial Protein Toxins*. His encyclopedic formation and education, as well as his interactions with many scientists in the domain of toxins (notably through the organization of the European Workshop on Bacterial Toxins) facilitated the involvement in this project of many of the most eminent specialists in the fields of toxin studies and bacterial pathogenesis. The idea of organizing high-standard scientific workshops in Europe devoted to bacterial toxins was evoked by several scientists and resulted in the creation in 1981 of a steering committee where J. E. Alouf was an active member. He organized the first ETOX meeting in Seillac, France, a small town about 200 km from Paris. The site was very pleasant and quite appropriate for interactive discussions between senior scientists and young students. The first ETOX meeting was a

great success largely due to the selection of the site and organization by J. E. Alouf, as well as the participation of many expert scientists in the toxin field with high standard presentations. The prototype of having a scientific, interactive meeting on toxins with a restricted number of participants allowed frank and open discussions on basic toxin concepts was adopted in the following ETOX meetings, which were organized every two years in different European places. The ETOX meetings remain among the most successful and popular meetings on the topic of bacterial toxins in the world.

The proceedings of the first meetings were published in special issues of *Zentralblatt für Bakteriologie* and then in *International Journal of Medical Microbiology*. However, these publications only discussed the recent advances in toxins, and J. E. Alouf planned to elaborate a book with a wider scope that would collect both basic concepts and recent developments in the bacterial toxin field. He selected the term “Sourcebook” to specify the importance of having basic reference documents that would provide not only an in-depth understanding of each individual toxins, but also comprehensive aspects on their common properties and modes of action. J. E. Alouf was familiar with teaching and wanted to share with other biologists and students the fundamental knowledge in his domain. Indeed, he was the director of a special course on general immunology at the Pasteur Institute, Paris, from 1974 to 1994, in which he included specific modules on bacterial toxins. This course was very appreciated by the students, and J. E. Alouf was very selective when choosing the candidates. He was on the frontier of two scientific domains, toxinology and immunology. He has characterized various bacterial hemolysins, but he was also interested in the antigenic properties of toxins, notably the superantigen activity of streptococcal toxins.

The first edition of the *Comprehensive Sourcebook* was published in 1991, as mentioned, and J. E. Alouf actively participated to the second and third editions. At the beginning of 2013, J. E. Alouf asked us whether we could help him prepare a new edition for Elsevier, even though he had been retired for six years. It was difficult to decline this kind invitation, and until the autumn of 2013, we worked together on the selection of chapters and author invitations. His health declined, but he was always interested in the progress of the book. Unfortunately, he passed away on March 20, 2014, but his contribution to science will continue.

We are very grateful to all the authors who kindly accepted to provide excellent reviews to this fourth edition, allowing the continuity on this exciting adventure in toxins. We also thank the Elsevier staff for their professionalism and patience.

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Evolutionary aspects of toxin-producing bacteria

1

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Introduction

It has long been believed that microbes capable of causing human disease evolve over long periods of time through complex interactions between the microbes and their hosts. The prevailing view was that maintenance and amplification of mutations that might lead to increased virulence required a strong or persistent selective pressure, which could be applied only specifically from exposure to the host environment. However, new evidence suggests that acquisition of large DNA segments by horizontal gene transfer (HGT) may account for a much more rapid evolution of pathogens than was previously thought [1–4], particularly in terms of the origin of virulence factors such as toxins. Indeed, the abundance of toxin genes associated with “foreign” DNA segments, called *pathogenicity islands (PAIs)*, in the genomes of most of the sequenced toxin-producing pathogens compared to their nontoxigenic and nonpathogenic counterparts suggests that HGT must occur at a relatively high frequency in the real world [1–4]. Consequently, scientists are beginning to ask two questions: How many of the emerging or reemerging bacterial diseases are surfacing because of the acquisition of new toxin-containing PAIs, new combinations, or recombinations of PAI-encoded toxin genes? And if HGT, with or without selective pressure, is a major driving force in the evolution of toxins and bacterial pathogens, where is it taking place?

Molecular ecology of toxin-producing bacteria

Pathogenicity islands, horizontal gene transfer, and the prevalence of toxins

Strong evidence now points to an important role for HGT (in the form of mobile PAIs) in contributing to genome variability and the evolution of pathogens [1–4]. Given a strong selective pressure such as that encountered in the host environment, a bacterium that has acquired new genes can rapidly undergo pathoadaptation to become more virulent [5]. The structural genes encoding most bacterial protein toxins are located on PAIs in the form of extrachromosomal plasmids, or within genomes as part of temperate bacteriophages, putative transposons, integrated conjugative plasmids, or remnants of these mobile elements (see Table 1.1). Possible mechanisms through which HGT

Table 1.1 Selected PAI-encoded protein toxins

Protein toxins	Gene	Location	Bacterial host	References
Clostridial neurotoxins				
BoNT/A	<i>botA1</i>	Chromosome, plasmid	<i>C. botulinum</i>	[9]
BoNT/B	<i>botB</i>	Chromosome, plasmid	<i>C. botulinum</i>	
BoNT/C1	<i>botC1</i>	Prophage	<i>C. botulinum</i>	
BoNT/D	<i>botD</i>	Prophage	<i>C. botulinum</i>	
BoNT/Dsa	<i>botC/D</i>	Phage	<i>C. botulinum</i>	
BoNT/E	<i>botE</i>	Plasmid, phage	<i>C. botulinum</i> , <i>C. butyricum</i>	
BoNT/F	<i>botF</i>	Chromosome, plasmid	<i>C. botulinum</i> , <i>C. baratii</i>	[9,10]
BoNT/G	<i>botG</i>	Plasmid	<i>C. botulinum</i>	[9]
BoNT/H	<i>botH</i>		<i>C. botulinum</i>	[11]
TeNT	<i>tet</i>	Plasmid	<i>C. tetani</i> , <i>C. argentinense</i>	[9]
ADP-ribosylating toxins				
Diphtheria toxin (DT)	<i>tox</i>	corynephages α , β , P, π , δ , L, h, ω , (<i>tox</i> ⁺), γ (<i>tox</i> ⁻)	<i>C. diphtheriae</i> , <i>C. ulcerans</i> , <i>C. pseudotuberculosis</i>	[12–14]
Cholera toxin (CT)	<i>ctxAB</i>	CTX ϕ , VPI	<i>V. cholerae</i> , <i>V. mimicus</i>	[7,15–18]
Heat-labile enterotoxin (HLT)	<i>elt</i> , <i>etx</i>	Plasmid, chromosome	<i>E. coli</i>	[13]
Pertussis toxin (PT)	<i>ptxA-E</i>	Chromosome PAI	<i>Bordetella pertussis</i>	[19]
Cholix toxin (ChxA)	<i>chxA</i>	Chromosome PAI	<i>V. cholerae</i> (non-O1/ non-O139)	[20,21]

28S rRNA-depurinating toxins				
Shiga toxin (ST) Shiga-like toxins (SLT)	<i>stx</i> <i>stx1</i> <i>stx2</i> <i>stx2c</i> <i>stx</i> <i>stx1c</i>	Phages φ 19B φ933W φ2851 φ7888 φ6220	<i>S. dysenteriae</i> , <i>E. coli</i> <i>E. coli</i> <i>E. coli</i> <i>E. coli</i> <i>S. sonnei</i> <i>E. coli</i>	[22–24] [25] [26] [27] [28] [29]
RTX (MARTX toxins)	<i>VcRTX</i> <i>VvRTX</i>	Chromosome chromosome	<i>V. cholerae</i> <i>V. vulnificus</i>	[30,31] [32]
Cytolethal distending toxins (CDT)				
CdtA, CdtC (B subunits) CdtB (DNase A subunit) Typhoid A ₂ B ₅ toxin	<i>cdtABC</i> <i>pltBA</i> , <i>cdtB</i>	Prophage Plasmid pVir Phage	<i>E. coli</i> <i>E. coli</i> <i>S. enterica</i> serovar Typhi	[33] [34] [35]
Deamidating toxins				
Cytotoxic necrotizing factors CNF1 CNF2 CNF3 CNFy CNFp CNFm <i>Burkholderia</i> lethal factor (Blf1) Cell cycle–inhibiting factor (Cif) Cif homologue <i>Yersinia</i> (CHYP)	<i>cnf1</i> <i>cnf2</i> <i>cnf3</i> <i>cnfY</i> <i>cnfP</i> <i>cnfM</i> <i>blf1</i> <i>cif</i> <i>cif</i>	Chromosome (PAI) Plasmid pVir Transposon PAI PAI PAI Chromosome (PAI) λ Prophage Chromosome (PAI)	<i>E. coli</i> <i>M. viscosa</i> <i>B. pseudomallei</i> <i>E. coli</i> (EPEC, EHEC) <i>Y. pseudotuberculosis</i>	[36,37] [34] [38,39] [40] EMBL: EEZ39234.1 [41] [42,43] [44,45] [46]

(Continued)

Table 1.1 (Continued)

Protein toxins	Gene	Location	Bacterial host	References
Cif homologue <i>Photorhabdus</i> (CHP)	<i>cif</i>	Chromosome (PAI)	<i>P. asymbiotica</i> , <i>P. luminescens</i>	[46]
Cif homologue <i>B. pseudomallei</i> (CHBP)	<i>cif</i>	Chromosome (PAI)	<i>B. pseudomallei</i>	[44]
Cif homologue <i>Shigella</i> (OspI)	<i>ospI</i>	Plasmid	<i>S. flexneri</i>	[47]
<i>Pasteurella</i> dermonecrotic toxin (PMT)	<i>toxA</i>	λ -like linear dsDNA phage	<i>P. multocida</i>	[48]
<i>Bordetella</i> dermonecrotic toxin (DNT)	<i>dnt</i>	Chromosome PAI	<i>B. pertussis</i> , <i>B. bronchiseptica</i> , <i>B. parapertussis</i>	[49]
<i>Photorhabdus asymbiotica</i> toxin (PaTox)	<i>tox</i>	PAI	<i>P. asymbiotica</i>	[50]
Anthrax and <i>Cereus</i> toxins				
Protective antigen (PA)	<i>pag</i>	Plasmid (pX01)	<i>B. anthracis</i>	[51]
Lethal factor (LF)	<i>lef</i>			
Edema factor (EF)	<i>cya</i>			
Certhrax toxin (CerADPRT)	<i>cer</i>	Plasmid (pBC218)	<i>B. cereus</i>	[52,53]
Staphylococcal toxins				
enterotoxins	<i>sea</i> , <i>sep</i> <i>seg</i> , <i>sen</i> , <i>sei</i> , <i>sem</i> , <i>seo</i> <i>sel</i> , <i>sek</i> , <i>sec3</i>	ϕ N315, ϕ Mu50A PAI	<i>S. aureus</i>	[54,55]
exotoxins	<i>set1-15</i>	TSST-1 PAIs PAI		
leukotoxins	<i>lukD</i> , <i>lukE</i>	PAI		
exfoliative toxins	<i>eta</i>	ϕ ETA		[56]
	<i>etb</i>	Plasmid		[57]
	<i>etd</i>	PAI		[58]

leukocidins	<i>pvl, lukD, lukE, lukF, lukM, lukS</i>	φPVL, φPV83, φSLT		[54,55,59,60]
hemolysins	<i>hla, hld, hlg</i>	PAI		[61]
toxic shock syndrome toxin-1 (TSST-1)	<i>tst</i>	TSST-1 PAIs		[62]
Streptococcal toxins				
superantigen A exotoxins	<i>ssa speA, speB, speC, speG, speH, speI, speK, speL, speM</i>	Prophages	<i>S. pyogenes</i>	[63,64]
streptolysin O (SLO)	<i>slo</i>	Chromosome		[65]
streptolysin S (SLS)	<i>sls</i>	Chromosome		
Heat-stabile enterotoxins (SLT)	<i>estA, estB ast (EAST-1)</i>	Transposon Plasmid	<i>E. coli</i>	[66]
Pore-forming toxins				
α-hemolysin	<i>hlyI,II</i>	Chromosome (PAI), Plasmid	<i>E. coli</i>	[67,68]
enterohemolysins (Ehly 1, 2)	<i>ehl 1,2</i>	Phage	<i>E. coli</i>	[36,37]
<i>Pseudomonas</i> cytotoxin	<i>ctx</i>	φCTX, PS21	<i>P. aeruginosa</i>	[69,70]

contributes to bacterial pathogenesis include exchange and recombination of toxin and other virulence genes among different bacterial populations; one-step acquisition of toxins and other virulence genes to increase colonization and survival against the host's immune system or to provide means for dissemination within the host or between hosts; and provision of mechanisms to enhance survival in the external environment when outside the host body. Phages or lysogenic bacterial strains carrying toxin prophages might serve as the natural reservoir for toxin genes, with lysogenization and conversion processes taking place not only in the human or animal host (such as in the lungs or intestines), but also in the external environment, such as in food, water, soil, or other vectors or hosts (e.g., insects, amoeba, or plants) [1,6–8].

HGT under any of these conditions could produce new pathogenic strains and may account for the prevalence of related toxins among diverse pathogens. Examples can readily be found in some of the emerging or reemerging pathogens, including heterogeneity of the genes for diphtheria toxin, *tox*, and its iron-dependent regulator, *dtxR*, in clinical isolates of *Corynebacterium diphtheriae* from epidemic outbreaks [71]; production of superantigen variants among group A streptococci [63], exotoxin-diversity among community-acquired versus hospital-acquired methicillin-resistant *Staphylococcus aureus* strain lineages [72]; cholera toxin production by *Vibrio cholerae* isolates [7,73]; heat-labile enterotoxin (a homologue of cholera toxin) production by enterotoxigenic *Escherichia coli* strains (ETEC) [74]; and the widespread production of Shiga toxin variants among the *Shigella* and *E. coli* strains [75–77]. Indeed, studies on Shiga toxin (*stx*) gene-containing phages indicate that they are transmitted not only by temperate bacteriophages between different bacteria *in vivo* (i.e., in the intestines of humans and animals [78–80]), but also extraintestinally in aquatic environments, such as oceans [81], sewage, and other fecally contaminated water sources [76,82,83], and irrigation water, soil, and crops [84,85].

In addition, new studies indicate that phage biology may contribute to bacterial pathogenesis by allowing for export or release of toxins mediated by phage lysis or for toxin gene expression upon phage induction, particularly when optimal promoters for the toxin gene are lacking in the new host. Many phage-encoded toxin genes are located near the phage attachment site, supporting acquisition by a transduction mechanism. For instance, corynebacteriophages of *C. diphtheriae* carry the *tox* gene near the phage attachment site [86]. The Pantone-Valentine-leukocidin (PVL) toxin genes, *lukS-lukF*, are also located close to the phage attachment site *attP* and integrase (*int*) gene encoded by a mitomycin C-inducible temperate prophage, Φ PVL, in *S. aureus* strain V8 [87]. However, the Shiga or Shiga-like toxin (*stx*) genes are an exception. The *stx1* and *stx2* genes are downstream of the λ -like transcriptional activator Q homologue and upstream of the phage lysis and morphogenesis genes in the H-19B and 933W phages, respectively [25,88,89]. Several studies have demonstrated that expression and release of the Stx proteins from the bacteria is mediated by phage induction and lysis [26,90,91]. This came as a surprise since several groups had previously identified a functional Fur-like promoter that was directly upstream of the *stx1* gene and had reported that toxin expression was regulated by iron through this promoter [88]. However, subsequent studies indicated that phage induction by agents such as mitomycin C dramatically increased the production and release of Stx

by Shiga toxin-producing *E. coli* (STEC) strains far above that observed under iron regulation [90,91]. This was further confirmed by mutational analysis of the promoter regions [26]. The CT genes, *ctxAB*, are located on a filamentous prophage, CTX Φ , in *V. cholerae*. CT production is likewise regulated by two promoters: the P_{*ctxAB*} promoter, which is located immediately upstream of the *ctxAB* operon; and the P_{*rstA*} promoter, which is located 5 kilobases (kbp) upstream of the *ctxAB* operon and regulates the phage virion structural genes [7].

Phage induction may also contribute to the release of toxins when specific protein secretion systems do not exist for the toxin, or when the toxin is too large for efficient export. Bacterial stress responses, including SOS-inducing antibiotics and oxidative stress, are known to trigger phage induction [92,93] and subsequent release of Shiga-like toxins in *E. coli* [94,95], as well as the large clostridial toxins, ToxA and ToxB, from *C. difficile* responsible for nosocomial antibiotic-induced enterocolitis [92,96]. There is additional evidence that the introduction and induction of other lysogenic phages can regulate toxin gene expression in *C. difficile* during infection [97,98]. The toxin-encoding regions of different *C. difficile* strains are part of a transmissible pathogenicity locus (PaLoc) that appears to be evolving [99–102]. Promoters that control the phage lytic-lysogenic switch of the Φ Sa3ms phage found in hypervirulent community-acquired *S. aureus* strain 476 also control the production of four phage-encoded virulence genes for staphylococcal enterotoxins, SEA, SEG, and SEK, and fibrinolytic staphylokinase (Sak) [103]. Another example where this may be relevant, albeit not yet demonstrated, is for the *Pasteurella multocida* toxin (PMT), which is responsible for the symptoms of atrophic rhinitis in animals, a disease that is most prevalent and more severe when animals are under stressful conditions or during coinfections [104]. The PMT gene (*toxA*) was reported to reside on a temperate bacteriophage [48].

Toxins encoded by plasmids, bacteriophages, and other pathogenicity islands

The clinical profile of diarrheal diseases caused by pathogenic *E. coli* strains is a composite of the various toxins and other virulence factors produced by those strains [105,106]. ETEC strains are characterized by the presence of two different plasmid-encoded enterotoxins, the Type I heat-labile toxin (HLT-I), which is an AB₅-type ADP-ribosylating toxin closely related to CT, and the heat-stable toxin (HST), which is one of several related small peptide toxins produced by different strains of *E. coli* that bind and activate intestinal guanylate cyclase receptors [107]. Some ETEC strains produce a second type of HLT (HLT-II), which is not neutralized by antisera against CT or HLT-I. HLT-II toxins are chromosomally encoded within predicted lambdoid-like prophage PAIs and are much more diverse with evidence of having arisen via multiple recombination events [74]. ETEC and extraintestinal strains of *E. coli* from animals often carry another Vir plasmid, which encodes the gene for cytotoxic necrotizing factor 2 (*cnf2*) [34,108], as well as the gene for cytolethal distending

toxin (*cdt*) [109]. Uropathogenic *E. coli* (UPEC) strains, on the other hand, carry the *cnf1* gene, which is homologous to the *cnf2* gene but appears along with the gene for α -hemolysin (*hly*) on a chromosomal PAI [67,110,111]. *E. coli* strains associated with intestinal or extraintestinal infections in humans and animals that produce CNF1 or CNF2 are also sometimes referred to as *necrotoxicogenic E. coli* (NTEC) [112]. Many extraintestinal *E. coli* strains contain variants of the α -hemolysin gene on different plasmids or chromosomal PAIs [67,113]. The plasmid-encoded enterohemolysin (*ehxABD*) of enterohemorrhagic *E. coli* (EHEC) strains also has strong homology to α -hemolysin, but the N- and C-termini are different in the two proteins [114].

STEC strains have emerged as a major group of food-borne pathogens [115,116]. Nearly all of the Shiga toxin genes are carried by temperate bacteriophages [22,23]. Indeed, it appears that no two sequenced Stx-encoding phages are the same, and indeed, other than the *stx* genes remaining linked to the λ -like transcriptional activator Q gene, nearly all of the phages are mosaics showing appreciable evidence of genomic recombination and gene-swapping events [77]. The two classes of Shiga toxins found in STEC, Stx1 and Stx2, have similar structures and mechanisms of action. The *stx1* gene is highly conserved and nearly identical to the *stx* gene from *Shigella dysenteriae*, whereas the *stx2* gene shares about 58% DNA sequence homology with *stx1* and has many distinct variants among different STEC isolates, with some containing multiple variants [117,118]. Some *stx* genes contain mosaic structures, suggesting that recombination between *stx*-phages can also occur in nature. In 2011, a large outbreak of diarrhea and hemolytic-uremic syndrome occurred in Germany due to an unusual, highly virulent strain of enteroaggregative hemorrhagic *E. coli* (EAHEC) serotype O104:H4 that had acquired through HGT a prophage encoding Stx-2, as well as additional virulence factors [119,120]. There is some evidence that the Stx-encoding phage may have emerged from a bovine reservoir of STEC [24].

The spore-forming, neurotoxin-producing *Clostridia* are strict anaerobic Gram-positive bacteria that are found ubiquitously in the environment. Neurotoxin-producing strains of *Clostridium botulinum* are defined by which of the closely related but antigenically distinct botulinum neurotoxins (BoNTs) that they produce (A1-A5, B1-B5, C1, CD, D, DC, E1-E8, F1-F7, G, or H), which differ at the amino acid level by up to 30% [9,121]. These toxins are also related to the tetanus neurotoxin (TeNT) produced by *Clostridium tetani*. Comparative phylogenetic analysis has revealed the strong likelihood that HGT of BoNT genes has occurred via phage, mobile plasmids, and transposons not only within the *C. botulinum* species, but also with other clostridial species, including *Clostridium butyricum*, *Clostridium baratti*, and *Clostridium argentinense* [9]. The diverse locations of the genes for the BoNT toxins are illustrative of the appreciable degree of HGT that has occurred during their evolution [9,121].

The gene clusters for BoNT/A1, BoNT/A2, BoNT/B, and BoNT/F are often located on the chromosome [9,122,123]; the gene for BoNT/G is plasmid-encoded [124]; the genes for BoNT/C1 and BoNT/D are encoded by prophages [125,126]; and the gene for BoNT/E has been found on both phages and plasmids [127,128]. Gene clusters for BoNT/A3, BoNT/A4, or BoNT/B have also been found on large plasmids [129,130]. Certain strains of *C. botulinum* have been reported to contain

mixtures of toxin types. For example, there are reports of strains that harbor both *botB* and *botF* gene clusters, as well as strains that harbor both *botA* and *botF* clusters or both *botA* and *botB* clusters [9]. Many type A strains have both the *botAI* gene cluster and a *botB* cluster, although the *botB* cluster in these cases often has a cryptic *botB* gene [131]. A *C. botulinum* strain has been reported harboring two chromosomally located gene clusters, encoding BoNT/A2 and BoNT/F4, and a large plasmid encoding the gene cluster for BoNT/F5 [10]. Strains containing a mosaic of toxins that are composites between *botC* and *botD* genes (e.g., combinations of BoNT/Dsa, BoNT/CD, or BoNT/DC) have also been reported [132–134]. A clinical strain has recently been isolated that possesses two chromosomal gene clusters: one for a BoNT/B2-like toxin and another for a new BoNT serotype H [11,135]. All of the *bot* genes, but not the *tet* genes, have an upstream, cognate *ntnh* gene encoding a 130-kDa nontoxic nonhemagglutinin (NTNH) protein [9,123], which has been shown to function as a molecular chaperone or partner in a complex with the secreted toxin that protects the toxin from harsh environments such as the stomach [136]. Recent structural studies have revealed that the serotype A NTNH forms an interlocked complex with BoNT/A and that the NTNH structure has a conformation remarkably similar to that of BoNT/A [137,138].

PAI-encoded toxins delivered by specialized secretion systems

Another class of PAI-encoded virulence factors that modulate signaling, metabolic processes, or both in host cells, includes the type III, IV, and VI secretion systems (T3SS, T4SS, and T6SS, respectively) and their translocated effector proteins (see Table 1.2). Although they are not toxins per se, these effector proteins can be considered as such since they mediate cytopathic or cytotoxic effects in host cells upon being directly delivered to the host cell cytosol by the secretion apparatus. Virulence plasmids of many pathogens, such as *Salmonella*, *Shigella*, *E. coli*, and *Yersinia*, harbor conserved clusters of genes for one or more secretion system and their associated effector proteins that modulate host responses [139]. Computational methods based on protein structural motif and sequence analyses have been developed to predict the effectors from T3SS [140–143], T4SS [143–145], and T6SS [146,147].

The three pathogenic strains of *Yersinia* (*Yersinia pestis*, *Yersinia enterocolitica*, and *Yersinia pseudotuberculosis*) all contain a large virulence plasmid (pYV) that encodes the T3SS and associated *Yersinia* outer protein (Yop) effector proteins [155], which modulate trafficking and innate immune functions once delivered to the macrophage by the T3SS [156,157]. An analogous set of T3SS (Mxi/Spa) and effector (Ipa) proteins [154], which is involved in manipulation of intestinal innate and adaptive immune responses [158], are encoded on the large invasion plasmid (pInv, pWR100 in *Shigella flexneri*) harbored by *Shigella* and enteroinvasive *E. coli* (EIEC) strains [159,160]. EHEC and enteropathogenic *E. coli* (EPEC) deliver toxic effector proteins into host cells via a T3SS encoded by the locus of enterocyte effacement

Table 1.2 Selected PAI-encoded effector proteins

Effectors	Gene	Location	Bacterial host	References
<i>Pseudomonas</i> T3SS effectors				
ExoS	<i>exoS</i>	Chromosome (putative PAI)	<i>P. aeruginosa</i>	[148]
ExoT	<i>exoT</i>	Chromosome (putative PAI)		[148]
ExoY	<i>exoY</i>	Chromosome (putative PAI)		[149]
ExoU	<i>exoU</i>	Chromosome (putative PAI)		[150]
<i>Salmonella</i> T3SS Effectors				
Sop proteins	<i>sopE</i> <i>sopE2</i> <i>sspH2</i> <i>gogB</i> <i>ssel</i> (<i>gtgB</i> , <i>sfrH</i>) <i>sspH1</i> <i>sipA-D</i> , <i>sptP</i> , <i>avrA</i> <i>sseA-G</i> , <i>ssaB</i> <i>sopB</i> <i>sopA</i> , <i>slrP</i> , <i>sopD</i> , <i>sopD2</i> , <i>sseJ</i> , <i>sifA</i> , <i>sifB</i> <i>sopE</i>	SopE ϕ Chromosome (phage?) Chromosome (phage?) GIFSY-1 GIFSY-2 GIFSY-3 (phage remnant) Chromosome (SPI-1) Chromosome (SPI-2) Chromosome (SPI-5) Chromosome Chromosome (λ -like phage remnant)	<i>S. enterica</i> serovars Typhimurium, Typhi <i>S. enterica</i> serovars Hadar, Gallinarum, Dublin, Enteritidis	[151–153]
<i>Shigella</i> T3SS effectors				
Ipa proteins Enterotoxin	<i>ipaA-D</i> <i>senA</i>	Plasmid Plasmid	<i>S. flexneri</i>	[154]
<i>Yersinia</i> T3SS effectors				
Yop proteins	<i>yopE</i> , <i>yopB</i> , <i>yopD</i> , <i>yopH</i> , <i>yopO</i> , <i>yopT</i> , <i>yopM</i> , <i>yopP/J</i>	Plasmid	<i>Y. pestis</i> , <i>Y. enterocolitica</i> , <i>Y. pseudotuberculosis</i>	[155]

(LEE) PAI [161]. One of these T3SS effectors, Cif, although tightly associated with the LEE, is encoded outside the LEE by a lambdoid prophage, which is present in a wide range of EHEC and EPEC isolates and is also highly diverse in terms of other encoded effector genes [162].

Strains of the *Salmonella enterica* serovar Typhimurium harbor a number of PAIs and prophages, two of which contain genes that encode distinct T3SS apparatuses, which directly inject cytopathic effector proteins into the host cell at different stages of the infection cycle [163] to cause a variety of intracellular effects on the host cell [151]. The effectors secreted by the T3SS encoded by the *Salmonella* pathogenicity island-1 (SPI-1) are involved in mediating the invasion of macrophages [164], while the effectors secreted by the T3SS encoded by SPI-2 are required for survival within the macrophage and during systemic infection [165]. The effector proteins injected by the SPI-1 T3SS, all of which affect various intracellular signaling processes in the macrophage, include SopB (phosphatidylinositolphosphatase), SopE2 (guanine nucleotide exchange factor, encoded by SPI-2), AvrA (deubiquitinase), SipB–SipC (translocon inserted into host cell membrane), SptP (tyrosine phosphatase), SipA (actin polymerization), SspH1 (E3 ubiquitin ligase, encoded by the GIFSY-3 prophage), SspH2 (encoded by a phage remnant found in most strains), SopD, SlrP, SopA, and sometimes SopE (encoded by another phage in some strains) [152,163,166–168].

Over 30 effector proteins are translocated by the SPI-2 T3SS [153], although not all *S. enterica* serovars contain the full repertoire of SPI-2 effectors, which are mostly encoded by different prophages in distinct regions on the chromosome [152,153,163,166–168]. These 30 SPI-2 effector proteins have a variety of reported intracellular enzymatic activities, including E3 ubiquitin ligase (SspH1, SspH2, SlrP), deubiquitinase (SseL), cholesterol acyltransferase (SseJ), *Salmonella*-induced filament (Sif) formation and extension (SifA, SopD2, PipB2, SseF), protease (GtgE), actin adenosine diphosphate (ADP)–ribosyltransferase (SpvB), kinase (SteC), and phosphothreonine lyase (SpvC) [153]. Sequence comparison of *Salmonella* isolates has revealed that different strains of *Salmonella* can harbor different sets of effectors, since frequently these effector proteins are themselves encoded by genes on different bacteriophages [169]. For example, analysis of the *sopE* gene among various *S. enterica* strains indicates that HGT can occur between different phage families [169,170], suggesting that shuffling of the T3SS effector protein repertoires in *Salmonella* species has created new epidemic strains [168]. Further, the *Salmonella* strain SL1344 has *sopE* located on a prophage ϕ SopE that is not found in strain 14028, while strain 14028 has *sspH1* located on prophage ϕ Gifsy-3, but does not have *sopE* [171]. Comparative genome analyses among various *Salmonella* isolates are now enabling the identification of additional T3SS effectors present on other lysogenic phages, such as the SseK/NleB T3SS effectors found on ϕ ST64B lysogens in the genome sequence of *Salmonella* strain SL1344, but not in the genome sequence of the commonly used laboratory strain LT2 [172].

Some Gram-negative bacteria utilize T4SSs to achieve the exchange of genetic material or translocation of virulence plasmids or effector proteins into the extracellular medium or into their eukaryotic hosts [173,174]. Both the AB₅ and the B₅ forms

of the pertussis toxin (PT) complex are assembled in the periplasm of *Bordetella pertussis*, where they are then secreted into the extracellular medium via the Ptl-T4SS [175,176]. The nine structural genes for Ptl T4SS (*ptlA-ptlH*) are all located within the same operon downstream of the five *ptx* structural genes for the S1-S5 subunits of PT [177]. In *Helicobacter pylori*, the Cag-PAI encodes the oncogenic and immunomodulating effector CagA, which is delivered into host cells directly using the Cag-PAI-encoded T4SS [178,179]. The plant pathogen *Agrobacterium tumefaciens* has a VirB/VirD4-T4SS system to deliver a range of virulence-associated effector proteins [180]. Some effectors, VirD2 and VirE2, are involved in processing and protection of the Ti plasmid for T4SS-dependent transfer and integration [173], while others, such as the transcription factor VIP1, suppress plant defense responses [181].

Many intracellular pathogens, such as *Bartonella*, *Brucella*, *Coxiella*, and *Legionella*, utilize T4SSs to deliver effectors from the bacteria-containing vacuole into the host cell cytosol [182]. For example, *Bartonella henselae* has seven VirB/VirD4-T4SS-translocated effectors, BepA-BepG, required for cytopathic reactions and establishment of chronic infection [183]. The effector genes appear to have evolved via multiplication and subsequent functional divergence from a single ancestral *bep* gene [184]. A total of 11 VirB-T4SS effectors, BspA-BspK, have been identified in *Brucella abortus*, some of which are known to target secretory pathways in host cells [185]. *Legionella pneumophila* utilizes Dot/Icm T4SS to deliver over 300 effectors, targeting multiple host-signaling pathways and manipulating transcription and translation machinery during intracellular growth in its own vacuolar compartment [186,187]. *Coxiella burnetii* encodes a T4SS that is homologous to the *Legionella* T4SS and that likewise delivers effectors required for intracellular replication and formation of its own vacuolar compartment [188]. T4SSs have now also been identified in *Streptococcus* and other Gram-positive bacteria, suggesting additional T4SS effectors may be associated with these Gram-positive bacterial T4SSs [189].

Many Gram-negative bacteria use T6SSs for delivery of effectors into neighboring bacteria through a contact-dependent mechanism [190,191]. T6SS is prevalent in interbacterial competition within the infected host environment [191], where the T6SS effectors are frequently coproduced with their specific immunity proteins in the donor bacteria and are toxic only to the recipient bacteria. To date, there are only a limited number of reported T6SS effectors known to target eukaryotic host cells directly. The C-terminal actin-cross-linking domain (ACD) of VgrG1 in *V. cholerae* has cytotoxicity against the amoebae *Dictyostelium* and mammalian J774 macrophages [192]. The VgrG1 in *Aeromonas hydrophila* has a VIP-2 like, C-terminal domain that ADP-ribosylates actin, causing cells to be rounded up [193]. The C-terminal domain (CTD) of VgrG5 from *Burkholderia* species has been found to cause complex effects on eukaryotic cells, which may help the pathogen in immune evasion and cell-to-cell spread, including fusogenic activity [194], multinucleation [195], and Rho-activation [196]. Like the T3SS and T4SS effectors, there may be an arsenal of yet-to-be-identified T6SS cytotoxic effectors harbored by bacteria to manipulate their hosts.

Molecular evolution of toxins through genetic exchange

Genetic exchange and toxin evolution

If HGT is a major player in the evolution of toxin-producing pathogens, where do most of these transfers occur? And what about recombination events? Could it be that the host body is not the only setting where these types of evolution occur? This is of particular interest because many pathogens, including those that produce toxins, spend a substantial amount of their life cycle outside the host body.

Toxin evolution and transmission in the host

Microbes are defined by their environment, and for most pathogens, that environment is believed to be predominantly the human or animal host. Pathogenic bacteria establish infections in widely diverse host environments, ranging from the skin to various mucosal surfaces, such as the oral cavity, lungs, gut, and vagina. Consequently, specific host *in vivo* signals might be expected to modulate bacterial gene transfer events [197]. There are ample studies demonstrating that transfer of antibiotic resistance genes among different Gram-negative and Gram-positive bacteria can occur in the intestinal tracts of humans and animals [198–201]. A number of genetic analyses of the plasmid profiles from clinical fecal isolates indicate that HGT occurs rampantly within the human gut [198,199,202,203].

Conjugal gene transfer between different *Salmonella* strains has also been shown to occur within cultured human epithelial cells [204], suggesting that the phagocytic vacuoles or cytosol of animal cells could be a niche for HGT if bacteria conjugate within the intracellular environment. A comparative genome analysis of *L. pneumophila* has revealed an extensive degree of recombination and HGT events among bacteria and from eukaryotes to bacteria (e.g., in shaping the genomes of *L. pneumophila* [205]). An interesting example of HGT can be found in the genetic evidence for homologous recombination within the vacuolating cytotoxin gene, *vacA*, which occurred among different *H. pylori* strains coinhabiting the stomach and resulted in chimeric *vacA* genes [206,207].

Genetic evidence supports that evolution of toxin genes in the Gram-positive staphylococci is still occurring via phage-mediated HGT [54,208], particularly in relation to the various toxin gene clusters for superantigens (*set*), exfoliative toxins (*eta*, *etb*), and toxic shock syndrome toxins (*tst*) found in pathogenic strains. All human isolates (>8000 tested so far) of pathogenic *S. aureus* and Group A *Streptococcus* (GAS) produce superantigens [209]. Many of the widespread *S. aureus* pathogenicity islands (SaPIs) encode two or more superantigen toxins, with frequent recombination events within genes also occurring [55]. The most common superantigen gene found in SaPIs of clinical isolates is the *tst* gene, which encodes the toxic shock syndrome toxin-1 (TSST-1), which is responsible for menstrual-associated toxic shock syndrome [55]. Besides TSST-1, there are over 19 different superantigens, including the staphylococcal enterotoxins (SEA, SEB_n, SEC_n, SED, SEE, and SEG, where n denotes multiple variants) and the SE-like superantigens (SE-I H, SE-I I, SE-I J through SE-I X)

reported from *S. aureus* strains [209–211]. Comparative genome sequencing analysis uncovered several virulence factor–encoding PAIs in a human clinical isolate of *S. aureus*, strain MRSA252, which are shared with *S. aureus* strains associated with bovine mastitis [212]. This strongly suggests that zoonotic contact can lead to HGT events and emergence of new virulence properties.

A similar evolutionary story of high variability and extensive HGT is told for the streptococci. GAS strains can produce one or more of the streptococcal pyrogenic exotoxins (SpeA, SpeC, SpeG through SpeM), which are related to the staphylococcal superantigen family, streptococcal superantigen (Ssa), streptococcal mitogenic exotoxin Zn (SmeZn, where *n* denotes multiple variants), or SpeB, which is a cysteine protease with controversial superantigenic activity [209,210]. The prophage-encoded genes for SpeA (the scarlet fever toxin) and SpeC are variably present in clinical GAS isolates, and there is strong genetic evidence that they undergo HGT and recombination [63,213]. Comparative genome sequence analysis of 12 *Streptococcus pyogenes* strains revealed the presence of multiple different prophages within the otherwise relatively conserved (90%) genomes [214]. The human pharyngeal cell has been shown to release a soluble factor that stimulates the lytic activation of *S. pyogenes* prophage [215]. Indeed, using a mouse model of *S. pyogenes* infection, the mammalian host was shown to promote both efficient phage induction at the mucosal surface, as well as subsequent lysogenic conversion of the nontoxicogenic streptococci occupying the same niche [216].

The *ctxAB* genes that encode the A and B subunits of CT were found to be located on a filamentous prophage CTX ϕ in naturally occurring clinical and environmental *V. cholerae* and *Vibrio mimicus* strains [7,15–17,217]. What was even more remarkable was the finding that the phage CTX ϕ uses as its receptor the toxin coregulated pilus (TCP), which itself is a colonization factor in the gut [218]. The genes for TCP are encoded by another putative prophage, VPI ϕ , present in CTX ϕ -positive *Vibrio* isolates. While classical pandemic strains of *V. cholerae* express TCP under a variety of conditions, more recent El Tor pandemic strains express TCP only in the infected mammalian host [7,219,220]. The vast majority of epidemic *V. cholerae* strains, which are responsible for the massive diarrheal disease cholera, do not produce CT or TCP pili when they are outside the human or animal host. Under certain laboratory conditions, they can undergo lysogenic conversion, but the most efficient conversion occurred within host intestines [18]. In addition, generalized transduction of CTX ϕ by yet another phage (CP-T1) was found to be an alternative mechanism by which CTX ϕ -carrying strains could transfer the CTX ϕ prophage into nontoxicogenic strains [221]. There is evidence for recent HGT of CTX ϕ and VPI ϕ between *V. cholerae* and *V. mimicus*, suggesting that in the environment, *V. mimicus* might serve as a reservoir for these phages and the potential emergence of new pathogenic isolates [7,15].

Pathogenic *Bordetella* species (*B. pertussis*, *Bordetella parapertussis*, and *Bordetella bronchiseptica*) are closely related Gram-negative bacteria that colonize the respiratory tracts of mammals [222]. *Bordetella petrii* is the only known environmental species of the *Bordetella* genus, and interestingly, lacks all of the known toxins found in the pathogenic species [223]. *B. pertussis* is a strict human pathogen responsible for whooping cough; *B. parapertussis* is a recently emerged variant that infects both humans and

sheep; and *B. bronchiseptica* is a broad host-range pathogen that infects primarily animals and occasionally humans. Comparative genome sequence analysis suggests that *B. pertussis* and *B. paraptussis* evolved from *B. bronchiseptica* in the recent past [224,225]. Interestingly, many of the genes encoding virulence factors, including the genes for PT (*ptxA-E*), adenylate cyclase-hemolysin (*cya*), dermonecrotic toxin (*dnt*), and tracheal cytotoxin (*tct*), can be found on distinct regions of the chromosomes in all three species. It was previously thought that PT expression differences in the strains were due to inactivating mutations in the promoter regions in *B. paraptussis* and *B. bronchiseptica* [226]. However, genetic analysis suggests that PT may be more tightly regulated in *B. paraptussis* and *B. bronchiseptica* and therefore is expressed only *in vivo* by these strains, while more recent mutations in the promoter region increased the transcription of the gene in *B. pertussis* [227].

Toxin evolution and transmission in the soil environment

Many bacterial pathogens, even those for which humans or animals can serve as the natural or primary reservoir, spend a substantial amount of time outside the host body in the external environment. This suggests that the evolution of pathogens through HGT may not occur only in the host environment. For example, it is now well recognized that the selective pressure of xenobiotic pollutants in soil and water can lead to the acquisition by soil bacteria of plasmids encoding xenobiotic-degrading enzymes [228,229]. There is even some evidence that natural electrotransformation, as might occur during a thunderstorm, might be a feasible mechanism for increasing the frequency of HGT in soil microcosms [230]. The impact of HGT via phages on the diversity and evolution of bacteria is also well established now [231–233].

Bacillus species are common spore-forming soil bacteria barely distinguishable at the genome sequence level [234–236], yet this group of bacteria differs considerably in their virulence properties. *Bacillus subtilis* is commonly used as a nonpathogenic laboratory model system for studying bacterial sporulation; *Bacillus thuringiensis* produces a number of insecticidal toxins widely used as pesticides in agriculture and in genetically modified plants to confer insect resistance; *Bacillus cereus* is a food-borne pathogen capable of causing human and animal gastrointestinal disease; and *Bacillus anthracis* is a human and animal pathogen that causes anthrax and has received much attention because of its potential use as a bioterror agent. The genes encoding the major virulence factors of *B. anthracis* responsible for anthrax, the anthrax lethal toxin and edema toxin genes (*pag*, *lef*, *cya*) and the poly-D-glutamate capsule biosynthetic genes (*capBCA*), reside on two large plasmids, pXO1 and pXO2, respectively [51,236,237]. Loss of the pXO2 plasmid resulted in the greatly attenuated Sterne vaccine strain [235]. Although it does not appear that these plasmids are self-transmissible, there are reports suggesting that conjugative plasmids from other *Bacillus* species might be able to supply the conjugal transfer functions in trans for these two virulence plasmids [238,239]. If this is true, then it is conceivable that other *Bacillus* species may serve as environmental reservoirs for the anthrax toxin genes [235]. And, indeed, HGT is reported to be quite common among *Bacillus* species [239–243].

Toxin evolution and transmission in aquatic environments

Numerous studies have shown that the concentrations of bacteriophages in natural aquatic environments can be high enough to be conducive for phage transduction, but they can be especially high in contaminated water systems [6,76,82–85]. The emergence, evolution, and spread of antibiotic resistance has been firmly linked to HGT in aquatic environments [244,245]; similar findings have emerged regarding toxin genes [1,6,82–85]. As mentioned previously, there is now strong genetic evidence that epidemic strains of *V. cholerae*, the etiological agent of cholera, have acquired CT, TCP, and other virulence factor genes through bacteriophage transduction and conjugal transfer in aquatic environments, possibly through *V. mimicus* reservoirs [7,15–17,217]. A broad range of *E. coli* strains harboring *stx2* (and to a lesser extent *stx1*) genes are commonly found in municipal sewage and various animal wastewaters [82,85]. Moreover, of the *E. coli* strains testing positive for toxin genes (only 1 out of 59 representative strains was typed as O157:H7), about 50% from animal wastewater and 10% from human sewage were also able to produce the toxin proteins [82]. These findings not only indicate the presence of a significant exchange of these genes between bacterial populations in these environments, but also suggest a potential health risk, in that the bacteria also make the toxins.

Since HGT occurs at maximal frequencies when bacterial densities of potential recipients are high [246–251], one might ask how genetic exchange can occur in aquatic environments, where some bacteria, such as *Vibrio*, spend most of their time and where their concentrations are expected to be too low to be conducive to HGT. Studies have shown, however, that bacteria found in marine environments are often adherent to marine animals or particles, such as zooplankton or marine snow [252–254]. Indeed, *Vibrio* strains are often found in close association with zooplankton as dense bacterial biofilms [254–257]. This finding correlates with the observation that zooplankton blooms frequently precede cholera outbreaks and that simple water filtration to remove zooplankton can significantly reduce the incidence of cholera cases in endemic areas [258]. Similar findings have been observed for other environmental bacteria [259]. The presence of particulate matter in aqueous environments was found to increase potential pathogenic bacteria-phage interactions and thereby stimulate transduction [6,259,260], the outcome of which has been proposed to supply virulence factors, such as toxins, that benefit both the bacteria and phage and contribute to their evolution as pathogens [261].

Toxin evolution and transmission in the phyllosphere

Other environmental settings could also serve as ideal locations for biofilm formation and consequent HGT [260,262]. One such important setting is the phyllosphere (i.e., plant surfaces). Numerous examples of relatively high conjugal transfer rates on the phylloplane of plants have been observed within and between species of *Pseudomonas* and *Rhizobium*, especially when humidity is high [263–265]. The soil bacterium *Ralstonia solanacearum*, a plant pathogen, even develops a state of natural competence in plant tissues and readily exchanges genetic material with plants and

other bacteria [266–268]. Aggregation or clustering of the bacteria into microhabitats in the interstices and stomata of the leaf surface has been found to stimulate bacterial survival and conjugal transfer [269,270]. HGT between plants and bacteria, such as *Acintobacter baylyi*, in the phyllosphere have also been visualized in situ using fluorescence microscopy [271].

Evidence for phage-mediated transduction among bacterial strains on the phylloplane has also been documented for a number of human pathogens [272,273], the plant and opportunistic human pathogens *Pseudomonas aeruginosa*, *Serratia marcescens* and *Serratia liquefaciens*, plant pathogens [274–276], and indigenous plant microbes, *Pseudomonas fluorescens*, *Pseudomonas syringae*, *Pseudomonas viridiflava*, and *S. liquefaciens* [231,277]. The transmission of *E. coli* O157:H7 and *Salmonella* species from manure-contaminated soil and irrigation water to various vegetables (lettuce, spinach, potatoes, carrots), sprouts (alfalfa, radish), and other plants, as well as the subsequent disease outbreaks, has been thoroughly documented [272,273]. Indeed, the toxin-producing bacteria were found not only on the plant surfaces, but also throughout the root system and within the edible portions of the plant tissues [278]. The implications of this finding, in terms of the spread of human disease through fresh produce, are alarming and may account for the emergence of new pathogens and disease outbreaks [273].

Toxin evolution in the guts of insects and other vectors

Bacteriophages and other mobile genetic elements also play important evolutionary roles in bacterial endosymbiont systems of insect hosts [279–282]. The extensive genome diversity found among parasitic arthropod bacterial symbionts (*Wolbachia*, *Rickettsia*) was shown to reside primarily in regions originating from HGT between *Wolbachia* strains that coinfect a diverse set of insect host cells [280,282,283]. Amoeba have also been found to serve as the environmental reservoir for a number of pathogenic bacteria that can facilitate HGT between the bacterial coinhabitants, as well as the bacteria and their amoeba host [279]. Recently, *mcf*-like genes were identified in the fungal genomes of the *Epichloë* species, which are intercellular symbionts of grasses and help protect the host grasses from insects [284]. These *mcf*-like genes are homologues of *mcf1* and *mcf2* found in the nematode symbiont *Photorhabdus luminescence* and *fitD* from plant-associated *Pseudomonas* species. The pore-forming domains of these insecticidal toxins share homology with the large modular toxins from *Clostridium difficile*, TcdA and TcdB. These results suggest that interdomain genetic exchange involving bacteria, fungi, insects, and plants can occur in an external environment.

B. thuringiensis produces a considerable arsenal of toxins directed against insects and nematodes, with multiple toxin-encoding genes on plasmids and various mobilizable genetic elements on the chromosome [285]. Selective pressure and HGT, combined with recombination and shuffling between toxin genes (resulting in domain swapping) and sequence divergence, has yielded a wide range of host specificities for these insecticidal toxins [235,285–287]. The genes encoding the crystal protein toxins, for example, are frequently clustered on different transmissible plasmids or

transposable elements [235,285–288], and conjugation between different strains has been observed in the soil environment and within insect guts [289–292]. Individual toxins have insecticidal activity only against a limited range of insect species (i.e., usually only within certain insect orders). The composite of the toxins produced by a particular strain thus defines the total insecticidal specificity and activity spectrum of that bacterial strain [285,286,288].

Accumulating evidence has led some researchers to put forth the proposal that HGT within the guts of insects commonly associated with domestic animals may represent a key link to the transmission of antibiotic resistance and other pathogenic traits in bacteria found in contaminated environments [293]. For example, houseflies and blowflies have been reported to carry toxinogenic *E. coli* O157:H7 [294–299], as well as other pathogens such as *Salmonella* and *Listeria* [300,301]. Plasmid transfer from *Erwinia herbicola* to *Enterobacter cloacae* was observed in the guts of silkworms [302]. Both conjugative and mobilizable plasmids from *E. coli* can be transferred to a wide variety of *Proteobacteria* within the gut of soil microarthropods [303,304], which could play host to HGT of toxin genes in *E. coli*-contaminated water or soil. *Y. pestis*, the causative agent of plague, has also been demonstrated to be capable of interacting with and acquiring plasmids from *E. coli* in the midgut of the flea at transfer frequencies of about 10^{-3} per recipient [305]. One might ask whether toxin gene-encoding plasmids, phages, or other mobile elements might also be transferred within the guts of insects, and, indeed, such transfers can occur and have occurred. For example, efficient gene transfer of plasmids encoding δ -endotoxin has been observed between different strains of *B. thuringiensis* in various arthropod hosts [306].

Toxin evolution in biofilms and regulation by quorum sensing

Evidence is accumulating that HGT and biofilm formation are interconnected [307–314]. It appears that the high rates of HGT observed in bacterial biofilms may be attributable to quorum-sensing control of the conjugation and production of exoenzymes, toxins, and other virulence factors, in addition to biofilm formation [247,251,263,307,315–317]. *Roseobacter* species and other environmental bacteria besides *Vibrio* associate with marine snow and also produce acyl homoserine lactones. Hence, these bacteria use quorum sensing to regulate phenotypic traits (e.g., biofilm formation, metabolite/toxin production, conjugation) when their populations in the marine snow environment reach adequate densities [318,319]. Indeed, quorum-sensing autoinducers produced by other bacteria within a polymicrobial biofilm have been shown to promote HGT among *Vibrio* species [315].

High levels of HGT and genetic recombination also occur *in vivo* within the host environment, such as during nasopharyngeal carriage and cocolonization of *S. pneumoniae* strains in biofilms, suggesting that the environment of the nasopharynx is highly conducive for promoting transformation [320]. The strong conservation of virulence determinants (e.g., toxins) among clinical and environmental isolates of *P. aeruginosa* supports the notion that conserved selective pressures for the maintenance of the virulence traits exist in the environmental reservoir [321]. Evidence suggests that quorum-sensing regulation of the formation of biofilms and the production of toxins may have

played a critical evolutionary advantage in the environment by providing a protective mechanism through which the bacteria are able to resist flagellate and other protozoan predation and thereby persist outside the human host [322–325]. Similarly, the effector protein toxins that are delivered by the Icm/Dot type IV secretion system of *L. pneumophila* appear to be equally effective in mammalian cells and in amoeba [186].

Another well-characterized example of biofilm and toxin regulation through quorum sensing can be found for the opportunistic pathogens *P. aeruginosa* and *Burkholderia cepacia* complex in the lungs of cystic fibrosis (CF) patients [316,326–329], where both bacteria form aerobic and anaerobic biofilms that differ significantly in virulence and phenotypic properties [323,324,330]. Indeed, there is strong evidence for distinct pathoadaptive mutations occurring over time in these systems [316,326,328,331,332], including evidence of the pathogens interacting and exchanging virulence-associated genes with each other. Other bacteria are emerging as pathogens in the CF lung as well [333,334]. For example, in the CF lung, quorum-sensing systems in *P. aeruginosa* regulate the formation of biofilms, as well as toxin/exoenzyme production. Interestingly, whereas the expression of exotoxin A (ExoA) and various protease and phospholipase exoenzymes are up-regulated by quorum sensing in biofilms, the T3SS and its translocated effectors (ExoS, ExoT, ExoY, and ExoU), which are important only when the bacteria are in direct contact with the host cells, are down-regulated by quorum sensing [335,336]. One exception to the strong conservation of virulence genes in *P. aeruginosa* is the presence of the genes for exotoxin S (ExoS) and exotoxin U (ExoU), with isolates from different clinical and environmental settings possessing one or the other of the *exoS* or *exoU* genes, but not both [321,337]. It has been suggested that one possible explanation may be that expression and delivery of one or the other toxin might provide a selective advantage in a particular, as-yet-unidentified, target host or tissue site [321,338].

Vaccines and toxin evolution

Despite high vaccination coverage and good, long-lasting immunogenicity in many populations [339], the once nearly eradicated diseases diphtheria and pertussis have unfortunately reemerged as a global health threat, even in developed countries [340–347]. One possible explanation for this reemergence is that the constant selective pressure imposed by immunization might have resulted in increased antigenic divergence in the remaining bacterial population. Consequently, the effects of vaccination on toxin evolution are beginning to be examined [340,341,343,348–353].

Comparative genetic analysis of the genes for *B. pertussis* PT and pertactin (an outer-membrane protein) between epidemic isolates and the vaccine strains revealed that expansion of strains antigenically distinct from vaccine strains has occurred [340,341,348–350,352–354]. These findings strongly implicate vaccination as a strong driving force in the continuous evolution of the *B. pertussis* population and may forebode the emergence of novel variants resistant to vaccination. Moreover, since pertactin and PT are the primary bacterial components in acellular pertussis vaccines (ACVs) that were introduced in the 1970s and have replaced the whole-cell vaccines (WCVs) in some countries, these findings throw into question the long-term

efficacy of ACVs. Indeed, the recent pertussis outbreaks in the United States have been linked primarily to switching WCVs for ACVs, which occurred in the 1990s, and waning immunity associated with ACVs [340,341,343]. The practice of nonmedical exemption of vaccination also appears to enhance the risk of pertussis [355]. While the efficacy of ACV is being reevaluated [345] and the pathogenesis of *Bordetella* is being thoroughly scrutinized [356], these recurring pertussis outbreaks could further exacerbate the selective pressure for the evolution of antigens.

As a result of longstanding immunization programs using the diphtheria toxoid, most current isolates of *C. diphtheriae* or *Corynebacterium ulcerans* are nontoxicogenic [354], yet toxigenic strains are still present [344,347,354,357,358]). While nontoxicogenic strains are devoid of the *tox* gene, there is the fear that these strains could potentially be converted into toxigenic strains by exposure to a corynebacteriophage β carrying the *tox* gene. There is also the fear that the continued presence of nontoxicogenic strains in immunized populations and the potential import of toxigenic strains from endemic areas such as Russia might allow phage conversion to occur [344,354,357,359]. In addition, it has been shown that recombination events are possible between strains harboring phages that contain *tox* genes and nontoxicogenic strains that harbor phage with defective *tox* genes or by reversion of the defective *tox* gene through spontaneous mutation [360], such that any of these scenarios might result in reversion to full toxigenicity.

The high potential of phage-mediated or other HGT-mediated conversion of nontoxicogenic bacterial strains, particularly within the host environment, has also raised serious safety concerns regarding the use of live bacterial for potential biomedical applications such as live-cell vaccine development, probiotics, or other therapeutics [361,362]. While it was well known that the DT gene could be easily transmitted via temperate phage between toxigenic and nontoxicogenic strains of *C. diphtheriae*, this was not thought to be a problem for bacteria that did not appear to be able to transfer their genes between pathogenic and benign strains. However, this perception was contradicted by the finding that the CT gene, which was previously thought to be on a putative prophage PAI that was no longer transmissible, could actually be transferred *in vivo* in the host environment [18]. Recently, it was demonstrated that the chromosomally located PaLoc PAI of *C. difficile*, which encodes the large glycosyltransferase toxins, TcdA and TcdB, could convert via conjugation a nontoxicogenic strain into a toxin-producing strain [361]. This finding has broader implications regarding the safety of using nontoxicogenic, avirulent strains as therapeutic delivery vehicles.

Modular recombination of bacterial toxins

Bacterial toxins with structurally and functionally homologous activity domains but different binding domains, such as DT and *Pseudomonas* ExoA [363], or with similar binding domains but structurally and functionally unrelated activity domains, such as STx, PT, CT, and HLT [364], have been known since the early days of bacterial toxin research. The occurrence of these related toxins suggests a possible process of modular recombination in toxin evolution. With the rapid growth in the volume of available

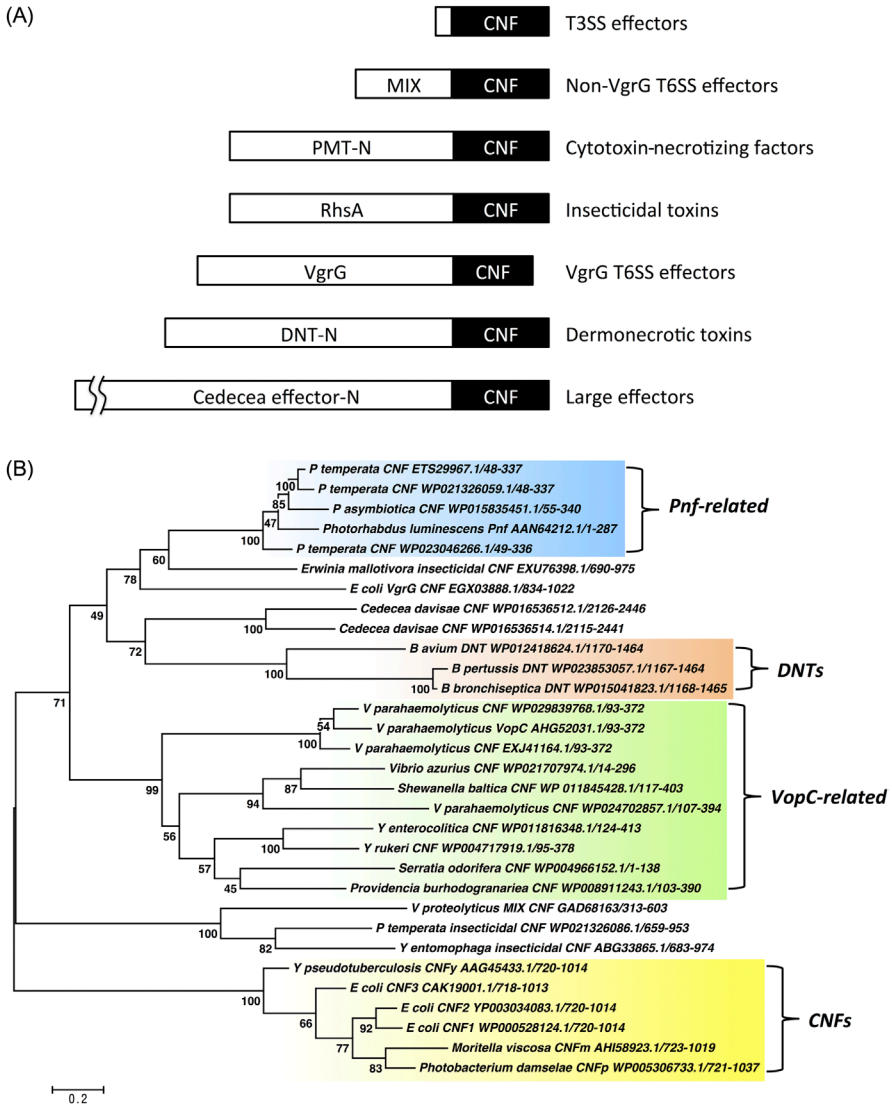
microbial genomes, a vast number of additional examples of structurally or functionally related bacterial toxins have emerged. This modular recombination process could involve both HGT and additional insertion-deletion processes.

One interesting example of modular recombination is the prevalence of the CNF-like domain in toxins or putative toxins found in a variety of bacteria. CNF1 in uropathogenic *E. coli* (UPEC) strains, CNF2 in EPEC strains, and the dermonecrotic toxin DNT in *B. bronchiseptica* were first identified as Rho-modifying factors in both human and animal clinical isolates [365,366]. The C-terminal (about 300 residues of these toxins) harbors a Rho-modifying γ -glutamyl-deamidase activity [367–369]. Additional CNF homologues have now been found in NTEC strains of *E. coli* (CNF3) [38], *Y. pseudotuberculosis* (CNFy) [40], *Photobacterium damsela* (CNFp, EMBL: EEZ39234.1) and *Moritella viscosa* (CNFm) [41]. All CNF homologues share a similar N-terminal fragment of about 700 amino acid residues that also exhibits homology with the N-terminus of PMT, while the N-terminus of DNT has homology to the N-terminus of a putative toxin from *Photorhabdus* carrying an unrelated protease effector domain. In addition to CNFs and DNTs, the CNF-like Rho-activating domain has been found in other classes of toxin effectors.

This diverse recombination allows microbes to explore various forms of entry pathways into host cells, including receptor-mediated endocytosis by AB toxin systems, insecticidal toxin complex systems, T3SSs, T6SSs, and other as-yet-undefined, large effector systems (Figure 1.1A). Sequence comparison of all CNF domains found among various effectors showed a wide spectrum of heterogeneity (Figure 1.1B). Among these, the CNF and DNT clades are most dissimilar. The large number of CNF-related T3SS effectors clustered into two clades (*Vibrio parahaemolyticus* VopC and *P. luminescens* Pnf). With such a diversity of CNF activity domain sequences, it is likely that CNF-like effectors could have evolved differential substrate selectivities. For example, the T3SS effector VopC only activates Rac and Cdc42, but not RhoA [372], while all CNFs and DNTs tested activate RhoA, Rac, and Cdc42 [373].

Multifunctional autoprocessing RTX toxins (MARTXs) of *V. cholerae* and *Vibrio vulnificus* strains are another case of modular recombination. Related MARTX sequences have been identified in many *Vibrio* species and a diverse number of other bacteria [374]. MARTXs consist of conserved N-terminal A repeats, B repeats, and C-terminal C repeats. The conserved cysteine protease domain (CPD) responsible for autoprocessing is located immediately before the C repeats. Between the B repeats and CPD are up to five multifunctional effector domain inserts, including an actin-crossing domain (ACD), a Rho GTPase-inactivation domain (RID), and other domains of unknown function.

There are a number of bacterial mono-ADP-ribosylating toxins (mADPRTs) and effectors that play important roles in pathogenesis. Based on sequence similarity, these mADPRTs have been classified into four families: DT/ExoS-like toxins, CT/PT-like toxins, C2-like toxins, and C3-like toxins [52]. Combining a structure-based data-mining approach with a yeast growth-defect phenotyping test for activity verification, new types of domain assembly for mADPRTs have been identified [53,375,376]. Similar bioinformatic approaches should be able to uncover even more examples of new toxins derived from modular domain recombination.



Conclusion

The evolution of bacterial toxins involves HGT and recombination through exposure to a combination of both the host and external environments. Most toxin genes are located on PAIs on plasmids or in the bacterial chromosome as prophages or other transmissible elements. Genome sequencing and genetic analysis of toxin genes in epidemiological isolates may provide more information about their extent and limitations, as well as regulatory mechanisms that influence HGT and recombination and their impact on toxin evolution.

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